

COMMON TRANSACTION FORM INCLUDING OTM FACILITY (For existing Unitholders only)



ARN - 95789	SUB-BROKER ARN CODE	EUIN - 104467	SUB-BROKER CODE (As allotted by ARN holder)
#By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.			
Declaration for "execution-only" transaction (only where EUIN box is left blank) I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			

Signature of Sole/First Holder	Signature of Second Holder	Signature of Third Holder
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FOLIO NO. (Mandatory):	TAX STATUS:	MODE OF HOLDING:
1st Holder (Mandatory)	Name of Unitholder(s)	PAN*
2nd Holder		
3rd Holder		
		KYC Status*

* PAN & KYC are mandatory for all applicants including NRIs.

LEI Number Date of Birth (1st Holder)

Legal Entity Identifier Number is Mandatory for Transaction value of INR 50 crore and above. See Instruction No. IV (Mandatory for ICICI Prudential Retirement Fund) - (Refer Instruction No. I on page 2)

Additional Purchase Request (Cheque/DD to be drawn in favour of "Name of the Scheme"). In case you do not mention Plan and/or Option units will be allotted under default option as per respective scheme information documents.

Scheme Name	PLAN:	OPTION:
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Payment Mode (Please tick any one only): (Please refer Instruction III) OTM (One Time Bank Mandate) Cheque DD Fund Transfer RTGS/NEFT

Cheque/DD/Instrument No. _____ Payment Date/Instrument Date: ___ / ___ / ___ Amount (Rs.) : _____

Bank Name **: _____ Branch: _____ City: _____

Bank A/c No. ** ** Please refer to instruction no.2 Account Type Savings Current NRO NRE FCNR Others _____ (Please specify)

I/We hereby confirm having initiated the Transfer / RTGS for transfer of INR _____ from our account no. _____ with _____ (Bank) to your account no. _____ with _____ (Bank). Payment Reference No. _____

Documents attached to avoid Third Party Payment Rejection where applicable: Bank Certificate - for DD Third Party Declaration

In case, the additional purchase amount is ₹10,000 or above and distributor has opted to receive transaction charges, ₹100/- will be deducted from the Purchase amount and paid to the distributor. Units shall be allotted for the balance amount only.

Switch Request (Please refer to the SID of the scheme you are switching from and to) I wish to switch: Rs. _____ or _____ Units

From (Scheme)	Plan:	To (Scheme)	Plan:
(Option)		(Option)	

BEFORE YOU REDEEM	Have you invested long enough ?	<input type="checkbox"/> Redemption Request (Please refer Instruction III) :
	<ul style="list-style-type: none"> Longer investment time period may allow your money the Benefit of Compounding. We recommend you check your investment horizon against your financial goals and not to get swayed by short term market movements. Have you been invested long enough to avoid any short term capital gain tax and exit load charges ? <p>Consult your financial advisor for the appropriate investment horizon!</p>	
	Please Redeem Rs. _____ or _____ Units	
	Scheme _____ Plan _____ Option _____	

If you have registered for multiple bank account facility in the above folio please specify the bank details in which you wish to receive the redemption proceeds. The bank account should be one of the registered bank account in the folio else the payout will be released to the default bank account registered for the folio.

Bank Name	Bank A/c No.
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Contact details of First/Sole applicant

Mobile Number and/or Land Line Number

E-mail Address

As per the press release dated April 11, 2017 issued by Ministry of Finance, the AMC has blocked/frozen the folios opened between 1st July 2014 to 31st August 2015 where FATCA self certification is not provided. The AMC shall not process any transaction which is initiated by the Investor in such folio(s) unless FATCA self-certification is provided by the Investor and due diligence is completed by the AMC.

YOUR CONFIRMATION/DECLARATION: I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/we have not been offered/communicated any indicative portfolio and/or any indicative yield for this investment. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. I/we declare that the email address provided in the form belongs to me/us or to spouse, dependent children or dependent parents (applicable to individual investors only).

Signature(s)

_____ First Holder _____ Second Holder _____ Third Holder

(Please See Overleaf)

Folio No. Purchase Redeem Switch Date:

Scheme Amount Rs. or Units

From Scheme (in case of switch) To Scheme

COMMON TRANSACTION FORM INCLUDING OTM FACILITY (Contd.)



INSTRUCTIONS:

I. DATE OF BIRTH (DOB)

- a) Date of birth of the First Unit Holder is mandatory for subscribing to the units of the Scheme, alongwith a copy of proof of date of birth as detailed in point (d) evidencing date of birth of the First Unit holder.
- b) AMC reserves the right to process the application where only date of birth is provided in the application form or the date of birth is retrievable from other sources.
- c) Age shall be computed with reference to years completed on the date of allotment.
- d) Acceptable Documents for Proof of date of Birth: Self attested copy of PAN, Passport, Driving licence or any other documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India; Identity card with applicants name and date of birth issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members. AMC reserves right to reject or process the application or ask for additional documentation subject to internal verification.

II. ADDITIONAL PURCHASE THROUGH OTM FACILITY:

- 1) Investor can invest through OTM facility registered in the folio and does not have to provide any cheque or transfer details.
- 2) Bank details need to be provided if transaction is through OTM mode, if no bank details are mentioned or no OTM mandate is registered for the given bank details then default bank mandate under OTM facility will be considered to debit the purchase amount
- 3) The units shall be allotted based on the day on which funds are credited to AMC's collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.
- 4) Purchase transaction or any other subsequent transaction may be liable for rejection at banker's end, if the frequency for the registered OTM is other than "As and when presented" and/ or if the purchase amount is other than fixed amount or more than maximum amount registered in the mandate.
- 5) AMC reserves right to reject or process the application subject to internal verification.

III. PAYMENT & REDEMPTION:

- a) In case of investment in the name of Minor, payment for investment must be from the bank account of the minor or from a joint account of the minor where the joint holder should be same as the guardian mentioned in the folio or else the transaction is liable to be rejected.
- b) In case of guardian bank account updated in the folio, please arrange to update the bank account of the minor or a joint account of the minor where the joint holder should be same as the guardian mentioned in the folio before placing the redemption. This will ensure seamless payment of redemption/dividend amount.

IV. As per the RBI circular "Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems" vide notification RBI/2020-21/82 DPSS.CO.OD No.901/06.24.001/2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, it will be mandatory to include 20-digit Legal Entity Identifier (LEI) information while initiating any transaction of value INR 50 crore and above by entities (non-Individual).

In case there is any change in your KYC information please update the same by using the prescribed 'KYC Change Request Form', available on our website www.icicijrnmf.com under the downloads section, and submit the same at the Point of Service of any KYC Registration Agency.