

Please refer to the general instructions for assistance and complete all sections in English. For legibility, please use BLOCK LETTERS in black or dark ink.

Broker Name & ARN Code/RIA Code	Sub-broker ARN Code	Sub Code	EUIN	Branch Code	Time Stamp
<b>AJIT SINGH</b> <b>ARN - 95789</b>			<b>104467</b>		

**Transaction Charges:** SEBI (Mutual Fund) Regulations allow deduction of transaction charges of Rs. 100/- from your investment for payment to your distributor if your distributor has opted to receive transaction charges for investments sourced by him. The transaction charges deductible are Rs. 150/- if you are investing in Mutual Funds for the first time. If you are making a SIP Investment, the transaction charges would be deducted over 3-4 instalments. No transaction charges would be levied if you are not investing through a Distributor or your investment amount is less than Rs. 10,000/-.

If this is the first time, you are investing in any mutual fund, please tick here

Investor's Declaration where EUIN is not furnished: I/We confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor and/or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of distributor and the distributor has not charged any advisory fees on this transaction

Sole/1st Applicant / Authorised Signatory <input checked="" type="checkbox"/>	2nd Applicant / Authorised Signatory <input checked="" type="checkbox"/>	3rd Applicant / Authorised Signatory <input checked="" type="checkbox"/>
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- New SIP Registration   
  SIP Renewal   
  Update new OTM debit mandate for already registered SIP   
  OTM Debit Mandate to be registered in the folio.  
 OTM Debit Mandate is already registered in the folio. Please fill, Unique Mandate Reference Number (UMRN)

### 1 APPLICANT INFORMATION

Name of Sole/1st Unit Holder	First Name	Middle Name	Last Name
Folio No.	PAN		
<b>Contact Details</b>			
Mobile No.	Tel. (Res./Office)		
Mobile belongs to :	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependant Children <input type="checkbox"/> Dependant Parents <input type="checkbox"/> Dependant Siblings <input type="checkbox"/> Custodian <input type="checkbox"/> Guardian (to Minor investment)	<input type="checkbox"/> POA <input type="checkbox"/> PMS	
*E-mail	Email ID to be filled in CAPITAL LETTERS		
E-mail belongs to :	<input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependant Children <input type="checkbox"/> Dependant Parents <input type="checkbox"/> Dependant Siblings <input type="checkbox"/> Custodian <input type="checkbox"/> Guardian (to Minor investment)	<input type="checkbox"/> POA <input type="checkbox"/> PMS	

### 2 OTM DEBIT MANDATE FOR NACH/ECS/AUTO DEBIT

UMRN  FOR OFFICE USE ONLY  Date

Sponsor Bank Code   Create  Modify  Cancel

Utility Code  I/We hereby authorize **HSBC Mutual Fund**

To debit (✓)  SB  CA  CC  SB-NRE  SB-NRO  Others Bank Account No.

with Bank  Name of customers bank IFSC/MICR

An amount of Rupees  In Words ₹  In Figures

Debit Type :  Fixed Amount  Maximum Amount Frequency:  Monthly  Quarterly  Half Yearly  Yearly  As & when presented

Reference 1  Folio No. Reference 2  Application Number

Mobile No.  Email ID

1. I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank. 2. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorising the user entity/Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorised to cancel / amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/Corporate or the bank where I have authorised debit.

PERIOD\* Mandatory

From  ×  ×

To

Maximum period of validity of this mandate is 40 years only.

Signature of Primary Bank Account Holder  Signature of Bank Account Holder  Signature of Bank Account Holder

Name as in bank records  Name as in bank records  Name as in bank records

Maximum period of validity of this mandate is 40 years only.

### ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant)

This Acknowledgement Slip is for your reference only. Information provided on the form is considered final.

Received from  Folio No./Application No.

Scheme  Plan  Option/Sub-option

New SIP Registration   
  SIP Renewal   
  Update New OTM Debit Mandate   
  OTM Debit Mandate to be registered in the folio.  
 OTM Debit Mandate is already registered in the folio.  
 SIP Amount Rs.  Frequency  Weekly  Monthly  Quarterly  
 STP Top Up Amount Rs.  Frequency  Half Yearly  Yearly

Acknowledgement  
Stamp & Date

**3 SIP & INVESTMENT DETAILS (For more than One Scheme please issue cheque favouring "HSBC Multi SIP Collection Account")**

**First SIP Details**

Instrument No.  Dated           Cheque Amount ₹

Bank Name  A/C No.

Branch  City

A/c. Type  Current  Savings  NRO\*  NRE\*  Others **UMRN for OTM**

Reason for your SIP (✓)  Children's Education  Children's Marriage  House  Car  Retirement  Others

**1 Scheme 1** Name  Plan  Option/Sub option

IDCW Frequency

SIP Date  1st  2nd  3rd  4th  5th  6th  7th  8th  
 9th  10th (Default\*)  11th  12th  13th  14th  15th  16th  
 17th  18th  19th  20th  21st  22nd  23rd  24th  25th  
 26th  27th  28th  29th  30th  31st

SIP period From     To      
 [If end date is not mentioned then the SIP will be considered based on end date provided in NACH Mandate]

SIP Frequency  Weekly\*  Monthly\*^  Quarterly (10th) SIP Day  Monday  Tuesday  Wednesday\*  Thursday  Friday

SIP Amount (figures) ₹  (words)

SIP Top Up (Optional) – Available only for investments effected through Auto Debit.

Top Up Amount ₹  Amount in multiples of ₹ 500 only Top Up Frequency  Half Yearly  Annual (Default) ^

Top Up to continue till SIP amount reaches^^ ₹  OR Top Up to continue till #

**2 Scheme 2** Name  Plan  Option/Sub option

IDCW Frequency

SIP Date  1st  2nd  3rd  4th  5th  6th  7th  8th  
 9th  10th (Default\*)  11th  12th  13th  14th  15th  16th  
 17th  18th  19th  20th  21st  22nd  23rd  24th  25th  
 26th  27th  28th  29th  30th  31st

SIP period From     To      
 [If end date is not mentioned then the SIP will be considered based on end date provided in NACH Mandate]

SIP Frequency  Weekly\*  Monthly\*^  Quarterly (10th) SIP Day  Monday  Tuesday  Wednesday\*  Thursday  Friday

SIP Amount (figures) ₹  (words)

SIP Top Up (Optional) – Available only for investments effected through Auto Debit.

Top Up Amount ₹  Amount in multiples of ₹ 500 only Top Up Frequency  Half Yearly  Annual (Default) ^

Top Up to continue till SIP amount reaches^^ ₹  OR Top Up to continue till #

**3 Scheme 3** Name  Plan  Option/Sub option

IDCW Frequency

SIP Date  1st  2nd  3rd  4th  5th  6th  7th  8th  
 9th  10th (Default\*)  11th  12th  13th  14th  15th  16th  
 17th  18th  19th  20th  21st  22nd  23rd  24th  25th  
 26th  27th  28th  29th  30th  31st

SIP period From     To      
 [If end date is not mentioned then the SIP will be considered based on end date provided in NACH Mandate]

SIP Frequency  Weekly\*  Monthly\*^  Quarterly (10th) SIP Day  Monday  Tuesday  Wednesday\*  Thursday  Friday

SIP Amount (figures) ₹  (words)

SIP Top Up (Optional) – Available only for investments effected through Auto Debit.

Top Up Amount ₹  Amount in multiples of ₹ 500 only Top Up Frequency  Half Yearly  Annual (Default) ^

Top Up to continue till SIP amount reaches^^ ₹  OR Top Up to continue till #

\* For NRI Investors. \* If the day for Weekly SIP is not selected, Wednesday will be the default day ^ If no debit date is mentioned default date would be considered as 10th of every month/quarter. Minimum gap of 21 days required between first cheque and subsequent instalment. In case of discrepancy in the SIP Period, the one mentioned in the Debit Mandate will be considered. Please ensure the amount mentioned in the NACH form is a total of per SIP installment requested above. ^^ SIP Top Up will cease once the mentioned amount is reached. # It is the date from which SIP Top-Up amount will cease. Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment.

**4 DECLARATION AND SIGNATURE(S) (to be signed by all Unit Holders if Mode of Holding is 'Joint')**

**OTHER DECLARATIONS (Signature(s) should be as it appearing on the Application Form and in the same order)**

I/We have read and understood the respective Scheme Information Document, Statement of Additional Information and Key Information Memorandum of HSBC Mutual Fund. I/We hereby declare that I/We do not have any existing Micro SIPs which together with the current application will result in aggregate investments exceeding Rs. 50,000 in a year. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this Systematic Investment. The ARN holder has disclosed to me/us all the commissions (in trail commission or any other), payable to him for the different competing schemes of Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby declare that the particulars given here are correct and express my/our willingness to make payments referred above through participation in Electronic Debit arrangement/NACH (National Automated Clearing House). If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution or any of their appointed service providers or representatives responsible. I/We will also inform HSBC Mutual Fund about any changes in my/our bank account. I/We have read and agreed to the terms and conditions mentioned overleaf. I/We hereby accord my/our consent to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/ us to the group companies of HSBC Mutual Fund for any valid business purposes including marketing or sales promotion or with any statutory or judicial authorities, without any prior intimation to me/us, until notified by me/us otherwise.

<b>X</b>	<b>X</b>	<b>X</b>
Sole/First Applicant/Guardian/PoA	Second Applicant/ PoA	Third Applicant/PoA

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

**CALL US AT**

Please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) for an updated list of Official Points of Acceptance of HSBC Mutual Fund. Please visit [www.camsonline.com](http://www.camsonline.com) for an updated list of Official Points of Acceptance of our Registrar/Transfer Agent : Computer Age Management System.

**TOLL FREE NUMBERS**

Description	Investor related queries	Distributor related queries	Online related queries	Investor (Dialing from abroad)
Toll Free Number	1800-4190-200/1800-200-2434	1800-419-9800	1800-4190-200/1800-200-2434	+ 91 44 39923900
Email ID	investor.line@mutualfunds.hsbc.co.in	partner.line@mutualfunds.hsbc.co.in	onlinemf@mutualfunds.hsbc.co.in	investor.line@mutualfunds.hsbc.co.in

## SYSTEMATIC INVESTMENT PLAN (SIP)/MICRO SIP - GENERAL INSTRUCTIONS

Please read the below instructions carefully before filling the form. Please fill up the form in English in BLOCK LETTERS with black or dark ink. All information sought in the form is mandatory except where it is specifically indicated as optional. All instructions & notes are subject to SEBI and AMFI guidelines as amended from time to time. Please note in case of any error while filling the form all applicants must sign against the corrections.

### 1. ONE TIME DEBIT MANDATE FORM (OTM):

- Investors who have already submitted One Time Debit Mandate Form (OTM) or already registered for OTM facility should not submit OTM form again as OTM registration is a one-time process only for each bank account. However, if such investors wish to add a new bank account towards OTM facility, may fill the form with the new bank details.
- Investors, who have not registered for OTM facility, may fill the OTM form and submit duly signed with their name mentioned.
- Alongwith OTM, investors need to provide an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered failing which registration may not be accepted. Investor's cheque/bank account details are subject to third party validation.
- Investors are deemed to have read and understood the terms and conditions of SIP registration, Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and addendum issued from time to time of the respective Scheme(s) of HSBC Mutual Fund.
- Date and validity of the mandate should be mentioned in DD/MM/YYYY format.
- Sponsor Bank Code and Utility Code of the Service Provider will be mentioned by HSBC Mutual Fund.
- For the convenience of investors, the frequency of the mandate mentioned "As and when presented".
- From date and To date is mandatory. However, the maximum duration for enrollment is 40 years.

### Mandatory Information to be provided by investors in One Time Debit Mandate Form (OTM):

• Date of Mandate	• Bank A/c Type
• Bank Account Number	• Bank Name
• IFSC and/or MICR Code	• Maximum Amount (Rupees in figures and words)
• Folio No./Appln No.	• Mandatory From Date
• Mandate To Date	• Signature(s) as per Bank records
• Name(s) as per Bank records	

### 2. Applicant Information:

Please furnish the Folio Number, Name and PAN of Sole/First Applicant Section 2 of the Form. Your investment would be processed in the specified folio.

Investors/Unit holders should provide the Folio & Name of the Sole/Primary Holder. In case the name as provided in this application does not correspond with the name appearing in the existing Folio, the application form may be rejected.

Your personal information and bank account details would apply to this investment as well. Please provide the Mobile Number and E-Mail Address of the Sole/First Applicant in the form in case of Individuals and Key Contact in case of Non-Individuals. This would help us seamlessly communicate with you on your investments.

KYC is mandatory. Please enclose copies of KYC acknowledgement letters for all applicants. \*\*PEKRN required for Micro investments upto Rs. 50,000 in a year. \* 14 digit KYC Identification Number (KIN) and Date of Birth is mandatory for Individual(s) who has registered under Central KYC Records Registry (CKYCR).

Permanent Account Number (PAN): Furnishing of PAN is mandatory for all applicants except where specific dispensation is provided under law.

Upon signing and submitting the Application Form and tendering payment it will be deemed that the investors have accepted, agreed to and shall comply with the terms and conditions detailed in the respective Scheme Documents.

### 3. SIP & Investment Details:

- For SIP in more than 1 scheme, your investment cheque should be crossed "Account Payee only" and drawn favoring "HSBC Multi SIP Collection Account".
- New Investors who wish to enroll for SIP investment are required to fill (i) OTM Mandate Form (ii) SIP Registration Form and (iii) the respective Scheme Application form (included in the Key Information Memorandum).  
New Investors can apply for SIP into respective Scheme / Plans / Option without any existing investment / folio. Please mention the name of the Scheme where you plan to make your investment and your preferred option. If you do not indicate your preferred option, your application would be processed based on the terms & conditions set out in the Scheme Information Document. If you are not investing through a Distributor, please suffix "Direct Plan" after the scheme name.
- Where a One Time Mandate is already registered in a folio for a bank account, the Unit Holder(s) is not required to fill the OTM debit mandate again. However, please mention the UMRM, debit bank name & account number.
- Where the OTM mandate is getting submitted for the first time, please fill the OTM debit mandate form for NACH/ECS/Auto debit mandatorily.
- Enclose cancelled cheque leaf of the bank where OTM is getting registered if the initial purchase cheque is not from the same bank account.
- Investors can choose any preferred day/date of the month as SIP debit day/date. In case the chosen day/date falls on a non-business day or on a date which is not available in a particular month, the SIP will be processed on the immediate next business day.
- Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar CAMS. Please note that effect from December 1, 2024 it would take T+2 Business days for the SIP to discontinue from the date of receipt of the duly filled request. Any installment due during this period might get debited from the bank account if it falls within T+2 Business days. The AMC reserves the right to introduce/discontinue SIP/variants of SIP from time to time.

Further in case of 3 consecutive SIP payment instructions provided by the investor are dishonored for insufficiency of funds, the AMC reserves the right to discontinue the SIP. In case of auto cancellation, the below given uniform timeline for treating an SIP as closed/cancelled shall be adopted by AMC:

S. No.	SIP Interval	No. of failed debit attempts prior to cancellation of SIP
1.	Daily	3
2.	Weekly, Fortnightly	3
3.	Monthly	3
4.	Bi-monthly, Quarterly or Longer interval SIPs	2

### Micro SIP:

- As per AMFI notification and guidelines issued on July 4, 2009, SIPs or Lumpsum purchases by eligible investors in a rolling 12 month period not exceeding Rs. 50,000 shall be exempt from the requirement of PAN. From January 1, 2012, KYC is mandatory for all holders of Micro investments.
  - The exemption of PAN requirement is only available to individuals.
  - Eligible investors may invest through SIP or lumpsum purchase without providing PAN subject to the threshold amount as mentioned above.
  - Eligible investors should attach a copy of KYC acknowledgment letter quoting PAN exempt KYC Reference No. (PEKRN) along with the application form.

### Third Party Payments:

- Third Party Payments for investments are not accepted except in the below cases
  - Payment by employer on behalf of employees under SIP or lump sum subscription through Pay Roll deductions or deductions out of expense reimbursements.
  - Custodian on behalf of an FPI or Client made by Custodian.
  - Payment by an AMC to its empanelled distributor on account of commission/incentive etc. in the form of Mutual Fund units through SIP or lump sum/one time subscription.
  - Payment by a Corporate to its Agent/Dealer/Distributor on account of commission or incentive payable for sale of its goods/ services in the form of Mutual Fund units through SIP or lump sum/one time subscription.

In the above cases, necessary declaration/banker's certificate needs to be provided confirming the source of funds for the investment. Please refer the SAI for more details.

### SIP Top-Up Facility:

- Investors can opt for SIP Top Up facility wherein the amount of SIP can be increased at fixed intervals.
  - Top Up facility will be available for valid new registration(s) under SIP or renewal of SIP & for the existing SIPs;
  - Top Up facility will be available only for investments under SIP effected through auto-debit;
  - Amount of Top Up shall be in multiples of ₹500;
  - Top Up can be done on a half yearly/annual basis;
  - Top Up Facility will not be available for investments under SIP where the auto debit period has not been indicated by the investor at the time of investments.
  - Unit holders have the option of indicating the threshold in terms of amount or the date up to which the Top Up will continue. On reaching the threshold, Top Up with respect to the SIP concerned shall cease and SIP installments will continue at the amount which was invested last for such period as may be specified in the SIP application form.

Default Option is Annual

- Your investment cheque should be crossed "Account Payee only" and drawn favoring the scheme name where the investment is in a specific scheme
- Please ensure that the investment cheque issued by you complies with CTS 2010 requirement stipulated by the Reserve Bank of India. The words "CTS 2010" should appear on the face of the cheque.
- Payments made by Cash / Money Order / Postal Order, Non-MICR cheque, outstation cheques are not accepted.
- For detailed terms and conditions on SIP, SIP Top-up, OTM facility, please visit our website [www.assetmanagement.hsbc.co.in](http://www.assetmanagement.hsbc.co.in) and also refer to scheme related documents.
- Note:** Effective February 1, 2021, units will be allotted only upon receipt of subscription amount by the Fund House for utilisation irrespective of any scheme category/investment amount. Net Asset Value (NAV) will be applied based on realization of funds for all purchases including systematic transactions registered prior or post February 1, 2021.
- Applications incomplete in any respect are liable to be rejected. AMC/RTA shall have absolute discretion to reject any such Application Forms.
- Investors are advised to retain the acknowledgment slip till they receive a confirmation of processing of their SIP Mandate from the HSBC Mutual Fund Investor Service Centre (ISC)/CAMS.
- Minimum application amount and number of installments:

Fre- quency	Minimum Installment Amount			Minimum number of Installments			SIP Dates
	Equity and Hybrid Schemes#	Debt and Fund of Funds (FoF) Schemes#	HSBC ELSS Tax Saver Fund and HSBC Tax Saver Equity Fund*	Equity and Hybrid Schemes#	Debt and Fund of Funds (FoF) Schemes#	HSBC ELSS Tax Saver Fund and HSBC Tax Saver Equity Fund*	
Weekly	Rs. 500/-	Rs. 500/-	Rs. 500/-	Minimum 6 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 3,000	Any Dates
Monthly	Rs. 500/-	Rs. 1,000/-	Rs. 500/-	Minimum 6 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 3,000	
Quarterly	Rs. 1,500/-	Rs. 1,500/-	Rs. 500/-	Minimum 4 installments subject to aggregate of Rs. 6,000/-	Minimum 4 installments subject to aggregate of Rs. 6,000/-	Minimum 6 installments subject to aggregate of Rs. 3,000	

# in multiples of Re. 1/- \*in multiples of Rs. 500/-

As per ELSS guidelines, a mutual fund can have only one open-ended ELSS scheme. In view of the said restriction, subscription into HSBC Tax saver Equity Fund has been closed from business hours on November 25, 2022. Hence, no SIP, STP or switch into HSBC Tax saver Equity Fund is allowed from the close of business hours on November 25, 2022. However, the unitholders will be allowed to hold their existing investments, except that no further investments/subscription would be accepted. Unitholders will be permitted to redeem/ switch out their units post the mandatory lock-in period. The above amendment in minimum SIP amount, number of installments and aggregate amount for SIP shall be applicable only for prospective investors from the Effective Date.

### 4. Declarations & Signatures:

Unit holders need to sign here in accordance to the Mode of Holding provided to us and as per the mode of holding in the bank account in the same sequence and manner in the relevant boxes provided in the form.